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Patent No. 6,857,790

Issue Date: 2/22/2005

Application No. 09/904,163

Filing Date: 7/11/2001

First Named Inventor: Edwin Fontecha

Docket Number: A007CIP3

Please change the Correspondence Address for the above-identified patent to:

Customer Number 78735

NeoPhotonics Corporation is the assignee of record of the entire interest.

STATEMENT UNDER 37 CFR 3.73(b):

Patent Owner: NeoPhotonics Corporation

Patent title: Devices and methods for etch loading planar lightwave circuits

NeoPhotonics Corporation is the assignee of the entire right, title and interest by virtue of a chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as follows:

Patent Assignment Abstract of Title

Total Assignments: 3

Patent #: ~~6857790~~ Issue Dt: 02/22/2005 Application #: 09904163 Filing Dt: 07/11/2001

Publication #: ~~20020028056~~ Pub Dt: 03/07/2002

Inventors: Edwin Fontecha, Mohammad Zubair Khan

Title: APPARATUS AND METHOD TO VERTICALLY ROUTE AND CONNECT MULTIPLE OPTICAL FIBERS

Assignment: 1

Reel/Frame: 012020/0979

Recorded: 07/11/2001

Pages: 4

1 of 2

A007CIP3

Application No. 09/904,163

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: FONTECHA, EDWIN

Exec Dt: 07/10/2001

ZUBAIR, MOHAMMAD

Exec Dt: 07/10/2001

Assignee: LIGHTWAVE MICROSYSTEMS CORPORATION

2911 ZANKER RD.

SAN JOSE, CALIFORNIA 95134

Correspondent: MORRISON & FOERSTER LLP

JOHNEY U. HAN

755 PAGE MILL ROAD

PALO ALTO, CA 94304-1018

Assignment: 2

Reel/Frame: 012562/0401

Recorded: 01/23/2002

Pages: 5

Conveyance: CORRECTIVE ASSIGNMENT TO CORRECT ASSIGNOR'S NAME PREVIOUSLY RECORDED AT REEL 012020 FRAME 0979

Assignors: FONTECHA, EDWIN

Exec Dt: 07/10/2001

KHAN, MOHAMMAD ZUBAIR

Exec Dt: 07/10/2001

Assignee: LIGHTWARE MICROSYSTEMS CORPORATION

2911 ZANKER RD.

SAN JOSE, CALIFORNIA 95134

Correspondent: MORRISON & FOERSTER LLP

JOHNEY U. HAN

755 PAGE MILL RD.

PALO ALTO, CA 94304-1018

Assignment: 3

Reel/Frame: 024505/0068

Recorded: 06/08/2010

Pages: 11

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: LIGHTWAVE MICROSYSTEMS CORPORATION

Exec Dt: 06/08/2010

Assignee: NEOPHOTONICS CORPORATION

2911 ZANKER ROAD

INTELLECTUAL PROPERTY DEPARTMENT

SAN JOSE, CALIFORNIA 95134

Correspondent: BARBARA DERUYTER

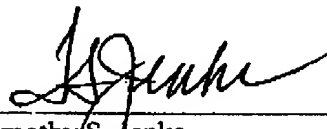
INTELLECTUAL PROPERTY DEPARTMENT

2911 ZANKER ROAD

SAN JOSE, CALIFORNIA 95134

The undersigned, (whose title is supplied below) is authorized to act on behalf of the assignee.

NEOPHOTONICS CORPORATION



Timothy S. Jenks
Chief Executive Officer
Telephone Number: 408 428-4970

9-27-2011
Date